

Waivers Development External Stakeholder Workgroup

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2/1/08



NC Division of Mental Health,
Developmental Disabilities and
Substance Abuse Services



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Transition

- Transition from the existing waiver to the 4 tiered waivers
 - Training prior to implementation
 - Information provided to the system
 - Case Managers meet with individual participants/families

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Transition

- Transition continued...
 - Waiver selection is based on current Plan of Care
 - Does not include one-time costs
 - No reduction in services and supports

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Transition

- Transition continued...
 - Planning to determine service and support needs:
 - Occurs at the Continued Need Review or within 6 months post waiver implementation, whichever is later.
 - The individual is entered into the waiver that reflects the individual's service and supports needs.

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Training Plans

- Extensive training needs for all involved in the implementation of the waivers.
- Training plans will be developed with each waiver.
- Continue to assess work force needs.

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Training Plans

- Training needs identified at this point include:
 - Each service definition,
 - Implementation of new definitions
 - PCP format and process
 - Risk assessment
 - Prioritization tool
 - Implementation of Self-Direction
 - Implementation of each waiver
 - SIS

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Service Definitions

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Personal Care

Three Definitions of Personal Care:

- Personal Care
- Personal Care – Enhanced
- Personal Care - Nursing

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Personal Care

- Provides support, supervision and engaging participation with activities of daily living.

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Enhanced Personal Care (NEW)

- An individual receiving enhanced personal care has needs that require:
 - Additional skill level
 - Additional training so that a higher level of decision can be made
 - Additional supervision

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Nursing Personal Care (NEW)

- A person receiving nursing personal care has needs that require a caregiver be a LPN or an RN

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Personal Care

Activities:

- non-habilitative service
- flexibility to encourage the individual to maintain skills gained during active treatment
- providing supervision for independent activities of the individual

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Home and Community Supports

- Provides instruction and assistance to enable the individual to acquire and maintain skills that will support the individual to participate fully, with greater independence, in the community.

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Home and Community Supports

- habilitation, training and instruction coupled with elements of support, supervision and engaging participation
- reflects the natural flow of training, practice of skills, and other activities as they occur during the course of the person's day.

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Home and Community Supports

- May be provided in an individual's private residence and/or in the community.
- Can not be used in connection with Residential Supports.

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Residential Supports

- Interactions with the individual are designed to achieve outcomes identified in the Person Centered Plan.

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Residential Supports

This service is provided to individuals who live in:

- licensed community residential settings of 4 beds or less
- Those who currently reside in 4+ beds will be grandfathered into this waiver
- foster homes
- unlicensed alternative family living homes that serve one adult.


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Residential Supports

This service is distinctive in that it includes:

- habilitation and training activities,
- care and assistance with activities of daily living when the individual is dependent on others to ensure health and safety.
- Support and supervision of the individual's activities to sustain skills gained through habilitation and training.


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Residential Supports

- Residential Support Providers are responsible 24 hours per day for the individuals they serve.
- Per Diem Service billed on a daily basis.


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Home Supports (NEW)

- The intent of Home Supports is to meet the habilitation and personal care needs of participants living with their family and the family provides the services.
- Per Diem Service billed on a daily basis.

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Home Supports

Home supports provide:

- flexibility and reflects the natural flow of a person's day.
- assistance with acquisition, retention, or improvement of skills
- habilitation and training activities


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Home Supports

- care and assistance with activities of daily living when the individual is dependent on others to ensure health and safety.
- support and supervision of the individual's activities to sustain skills gained through habilitation and training.

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Respite

Three Respite Definitions

- Respite
- Respite – Enhanced
- Respite - Nursing

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Respite

- The purpose is to provide periodic relief for the family or primary caregiver.
- Provides service *only for the individual...* not to siblings or other family members.

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Enhanced Respite (NEW)

- A person receiving enhanced respite has needs that require a caregiver have:
 - Additional skill level
 - Additional training so that a higher level of decision can be made
 - Additional supervision

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Respite-Nursing (NEW)

- A person receiving respite-nursing has needs that require the care of an LPN or RN.

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Respite

Respite may be provided in an individuals' home or;

- foster home, or
- licensed respite facility, or
- alternative family living arrangement, or
- certified respite provider's home

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Day Supports

- Purpose:
assist with acquisition, retention, or improvement in self-help, socialization and adaptive skills,

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Day Supports

- Licensed Facility
- On site attendance at the licensed facility is not required to receive services that originate from the facility.
- This provides the opportunity for individuals who attend or receive services provided by a licensed facility to receive some or all of their habilitation in the community.

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Community Networking Services (NEW)

- Enables the individual to increase or maintain their capacity for independence and develop social roles valued by non-disabled members of the community.
- Includes a combination of training, personal assistance and supports as needed by the individual during activities.

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Community Networking Services

- Provides individualized day activities that support the individual's definition of a meaningful day in an integrated community setting,
- Provides opportunities for interaction with persons who are not disabled, separate and apart from the individual's private residence, other residential living arrangement, and/or in the home of a service provider.

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Examples of Community Networking

- Participation in adult education;
- Structured volunteer work with non-profit organizations;
- Training and education in self-determination and self-advocacy;
- For children, this service includes staffing supports to assist children to participate in integrated day care/after school/summer programs for typically developing children.

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Community Networking Services

- Replaces the use of the Community component of Home and Community Supports in conjunction with Residential Supports.

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Adult Day Health Services

- Encompasses both health and social services needed to ensure the individual is able to participate fully to the extent they are capable.
- This service is for adults who are aged, disabled, and handicapped that need a structured day program of activities and services with nursing supervision.
- This service provides a community group setting for the purpose of supporting an adult's independence, and promoting social, physical, and emotional well being.

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Adult Day Health Services

- Adult Day Health Services must include health services and a variety of program activities designed to meet the individual needs and interests.

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Services for Employment (NEW)

- The vocational/employment support needs of individuals must be included as a strong component of the person-centered planning process.
- Vocational Rehabilitation services must be considered prior to using waiver services.

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Services for Employment

Job Coaching (NEW)

- Working with the individual to develop work skills, including the tasks of the job and also the social skills needed to secure and maintain work placement or enclave work.

Supported Employment (NEW)

- The purpose is to develop skills for competitive job placement.
- This is intended to be short term service.
- Outcomes are developed that include fading plan.

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Services for Employment

Micro-enterprise support (NEW)

- A small business owned and operated by the individual
- Support for the development and maintenance of the individual's micro-enterprise

Long Term Supports (NEW)

- Periodic support to individuals who have successfully obtained a competitive job and there is no longer the need for Supported Employment but need occasionally monitoring and support.

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Crisis Services

Crisis Services provides:

- Provides one additional staff person for the individual, as needed, during an acute crisis situation to support the individual to continue to participate in his/her daily routine and/or residential setting without interruption.
- Provides immediate intervention, available 24 hours per day, 7 days per week to support other direct care staff, family members or primary caregivers.

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Crisis Services

- Imminent institution admission may be avoided while protecting the individual from harming themselves or others.
- Supports the implementation of the crisis intervention component of the formal behavior intervention program developed by a licensed psychologist under the definition of Behavior Consultation.

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Crisis Services

- After any crisis event it is expected that recommendations and changes as needed be made to the intervention program as well as crisis plan within the Person Centered Plan.

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Behavioral Consultation (NEW)

Three definitions:

- Behavioral Consultation 1
(QP level staff with Behavioral Consultation Experience)
- Behavioral Consultation 2
(Masters level staff)
- Behavioral Consultation 3
(PHD level staff)

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Behavioral Consultation (NEW)

- Provides consultation, support and training in procedures and techniques that are designed to decrease problem behaviors and increase positive replacement behaviors.
- The service is habilitative in nature, and intended to assist the individual in acquiring and maintaining the skills necessary for the capacity to live in their communities and avoid institutional placement.

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Behavioral Consultation (NEW)

- The service will provide support, training and consultation to support staff, family members and/or primary caregivers in carrying out behavior support plans for individuals.
- The service is provided in the individual's residence or other naturally occurring environment in the community.

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Crisis Respite (NEW)

- A short term service designed for the individual experiencing a crisis for which a period of structured support and/or programming is required.
- The service may be used only when the implementation of Crisis Services has failed to result in stabilization of dangerous, and potentially life threatening behaviors.
- The service is provided in a licensed facility or licensed private home respite setting, separate and apart from the individual's living arrangement.

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Crisis Respite (NEW)

- In addition, the service may be used as a planned respite stay for waiver participants who are unable to access regular respite due to the nature of their behaviors.
- The service takes place in a center-based respite or private home respite setting, separate and apart from the participant's living arrangement.

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Individual Goods and Services (NEW) – Self Direction

- Services, equipment or supplies not otherwise provided through this waiver or through the Medicaid State Plan.
- Addresses an identified need in the Person Centered Plan (including improving and maintaining the individual's opportunities for full membership in the community).

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Individual Goods and Services – Self Direction

- The item or service would decrease the need for other Medicaid services; *AND/OR* promote inclusion in the community; *and/or* increase the individual's safety in the home environment.
- The individual does not have the funds to purchase the items or service.
- Is purchased from the individual's self-directed budget .

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Supports Brokerage – Self Direction (NEW)

- A service/function that focuses on empowering individuals to define and direct their own services and supports.
- Guides and supports (rather than directing and managing) the individual throughout the process of managing staff, etc
- Optional Service: the individual shall determine the amount of Supports Brokerage, if any, and the functions that the Support Broker will conduct.

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Support Broker Functions

- Guidance with management of funds through coordination with the financial manager
- Providing information on recruiting, hiring, managing, training, evaluating, and changing support staff
- Assistance with developing schedules and outlining duties of staff

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Support Broker Functions

- Assistance with understanding provider qualifications and record keeping requirements
- Instruction and counseling which guides the individual in problem solving and decision making and develops supportive relationship that promotes implementation of the Person Centered Plan

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Support Broker Functions

- Providing on-going information to assure that individuals and their families/representatives understand the responsibilities involved with participant direction, including reporting on expenditures and other relevant information and training; and
- Advocacy on behalf of the person,
- Negotiation of rates for services.

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Supports Brokerage

- Supports Brokerage differs from and does not duplicate case management.
- Staff of agencies or Individual Providers that provide Support Brokerage may not provide other direct services to the same individual including Case Management.

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Community Transitions Supports (NEW)

- One-time, set-up expenses for individuals who are transitioning from an institution, Developmental Center, community ICF-MR Group Home, or a nursing facility or another licensed living arrangement, to a community setting or another living arrangement where the individual is directly responsible for his or her own living expenses.

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Community Transitions Supports

- Security deposits that are required to obtain a lease on an apartment or home;
- Essential furnishings and moving expenses required to occupy and use a community domicile, including furniture, window coverings, food preparation items, bed/bath linens;
- Set-up fees or deposits for utility or service access, including telephone, electricity, heating and water.

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Community Transitions Supports

- Community Transition expenses are furnished only to the extent that the individual is unable to meet such expense or when the support cannot be obtained from other sources.

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Community Transitions Supports

- These services are available only during the three-month period that commences one month in advance of the individual's move to an integrated living arrangement in the community.

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Individual and Caregiver Training and Education

- Provides orientation regarding the nature and impact of the developmental disability upon the individual and his/her family.
- Provide information about community integration options and strategies.
- Provides education and training on intervention strategies.
- Provides education and training on the use of specialized equipment and supplies.
- Enhances the decision making capacity of the family unit.

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PERS (Personal Emergency Response System)

- For individuals who live alone, or who are alone for significant parts of the day, who are alone for any period of time, *and*
- have a written plan for increasing the duration of time spent alone as a means of gaining a greater level of independence, *and*
- who have no regular caregiver for extended periods of time, and who would otherwise require extensive routine supervision.

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Specialized Consultative Services

- Provides expertise, training, and technical assistance in a specialty area (therapeutic recreation, speech therapy, occupational therapy, physical therapy, or nutrition) to assist family members, caregivers, and other direct service employees in supporting individuals with developmental disabilities who have long term habilitative treatment needs.

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Specialized Equipment and Supplies

- Devices, controls, or appliances specified in the Person Centered Plan that enables the individual to increase the ability to perform activities of daily living, or to perceive, control or communicate with the environment in which they live.

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Specialized Equipment and Supplies

- *Category 1:* Adaptive Positioning Devices.
- *Category 2:* Mobility Aids
- *Category 3:* Aids for Daily Living

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Specialized Equipment and Supplies

- Specialized Equipment and Supplies may not be purchased through the waiver specifically for use in the school setting.

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Transportation

- Offered to enable individuals served through the waiver to gain access to waiver and other community services, activities and resources, specified by the Person Centered Plan.
- Offered in addition to medical transportation required under 42 CFR 431.53 and transportation services under the State plan, defined at 42 CFR 440.170(a) (if applicable), and shall not replace them.
- Whenever possible, family, neighbors, friends, or community agencies, which can provide this service without charge, will be utilized.

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Vehicle Adaptations

- Devices, controls, or services that enable individuals to increase their independence and/or physical safety.
- Repair, maintenance, installation, and training in the care and use of these items are included.
- Provided only if the cost effectiveness of vehicle adaptations, relative to alternative transportation services is established in the Person Centered Plan.
- Alterations to vehicles are limited to vehicles owned by the individual, or the individual's family.

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Home Modifications

- Equipment and physical adaptations to the individual's home;
- required by his/her needs as documented in the Person Centered Plan, as necessary to ensure the health, safety and welfare of the individual;
- to enable the individual to function with greater independence in the home;
- and are of direct and specific benefit due to the disability experienced by the individual.
- Home modifications are cost effective compared to the provision of other services that would be required in an inaccessible environment.

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Augmentative Communication

- Devices are necessary when normal speech is non-functional and/or when physical impairments make a gestural system impossible and/or ineffective.
- These devices are recommended by a speech/language pathologist
- Documented in the Person Centered Plan as necessary to meet the needs of the individual and specifies who and how the individual and/or his/her family/caregiver will be trained on the use of the equipment.

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Case Management (NEW)

- Coordination and oversight of assessment and reassessment of the individual's level of care and need for services and supports.
 - This includes coordination of any assessments needed by the individual as identified during diagnostic assessment.
- Facilitation and development of the Person Centered Plan.

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Case Management

- Locating and coordinating services and supports.
- The case manager also assists the person/legally responsible person in working with the service provider agencies.
- Monitoring of service delivery to assure quality of care as well as continued appropriateness of services.

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Break for Lunch

Proposed Framework for the Tiered Waivers

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Proposed Framework for the Tiered Waivers

Tier #1: Supports Waiver

- For individuals who live on their own or with their family and who have a low intensity of support needs and/or have access to unpaid supports that can meet a substantial portion of their overall support needs.
- This waiver will support individuals whose needs can be met within the range of \$0 to \$25,000.
- This waiver will include a Tier specific service array designed to meet the needs of this population.
- Self-direction of services is an option in this waiver.

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Proposed Framework for the Tiered Waivers

Tier #1: Supports Waiver

- Service definitions
 - Issues of determining what services are appropriate for this waiver
 - Work/conversations needed to tease out the issues
 - Current thinking;
 - Will not include Residential Supports
 - Will include personal care, day services, vocational services.

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Proposed Framework for the Tiered Waivers

Tier #1: Supports Waiver

- Excess Cost Limit
 - Acute situations requiring additional supports
 - A maximum of \$5000 within the three year waiver period
 - If support needs are long term or beyond the cost limit reassessment will occur.

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Proposed Framework for the Tiered Waivers

Tier #2: New Focus Waiver

- For individuals who live in their own homes, with their family, or in a congregate residential setting with no more than 4 beds.
- This waiver will support individuals whose needs can be met within the range of \$25,000+ to \$60,000.
- This waiver will include the current service array with some revisions.
- Self-direction of services is an option in this waiver.

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Proposed Framework for the Tiered Waivers

Tier #2: New Focus Waiver

- Service definitions
 - Our current thinking is that most of the current and new services would be available within this waiver.

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Proposed Framework for the Tiered Waivers

Tier #2: New Focus Waiver

- Excess Cost Limit
 - Acute situations requiring additional supports
 - A maximum of \$10,000 within the three year waiver period
 - If support needs are long term or beyond the cost limit reassessment of service needs will occur.

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Proposed Framework for the Tiered Waivers

Tier #3 Comprehensive Waiver

- For individuals who live in their own home, with their family or in a congregate residential setting with no more than 4 beds.
- This waiver will support individuals whose needs can be met within the range of \$60,000+ to \$91,000.
- This waiver includes the current service array with revisions to include enhancement of services to meet the higher intensity of service need.
- Self-direction is not an option in this waiver.

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Proposed Framework for the Tiered Waivers

Tier #3: Comprehensive Waiver

- Service definitions
 - Our current thinking is that most of the current and new services would be available within this waiver
 - The new Enhanced services would be appropriate for this waiver.

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Proposed Framework for the Tiered Waivers

■ Tier #3: Comprehensive Waiver

- Excess Cost Limit
 - Acute situations requiring additional supports
 - A maximum of \$10,000 within the three year waiver period
 - If support needs are long term or beyond the cost limit, reassessment of service needs will occur.

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Proposed Framework for the Tiered Waivers

Tier #4: Enhanced Waiver

- For individuals who experience severe medical fragility, and/or severe behavioral challenges.
- This waiver is intended to support individuals whose needs can be met within the range of \$91,000+ to \$135,000.
- This waiver includes a specific service array designed to meet the medical and/or behavioral needs of this population.
- Self-direction is not an option in this waiver.

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Proposed Framework for the Tiered Waivers

■ Tier #4: Enhanced Waiver

- Service definitions
 - Our current thinking is that most of the current and new services would be available within this waiver
 - The new Enhanced services specifically designed to address medical and behavioral conditions will be available within this waiver.

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Proposed Framework for the Tiered Waivers

■ Tier #4: Enhanced Waiver

- Excess Cost is not an option within this waiver.
- Individuals must be able to be served within the financial maximum.

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Send Us your comments:

Send emails regarding Waiver Specific feedback to:

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**Your involvement in the Waivers
Development Process makes our system
stronger!**



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